Receipt date: 03/01/2006

1AP20 Rec'd PCT/PTO 01 MAR 2006

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Katschorek, et al.

Examiner:

Unassigned

Serial No.: Confirmation No: Unassigned

Group Art Unit:

Unassigned

Filed:

Unassigned Herewith Docket:

1093-149 PCT/US March 1, 2006

For:

Method and Film System for Producing a Personalised, Optically Variable Element

Mail Stop PCT

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Date: 3/1/06 Label No. EL 867444365 US

I hereby certify that on the date indicated above, I deposited this paper or fee with the U.S. Postal Service and that it was addressed for delivery to the Commissioner for Earnis, P.O. Box 1450, (Hexandria, VA 22313-1450 by [EXPRESS MAIL Rost Office to Addressee" Service.

Tricia Tucci

### INFORMATION DISCLOSURE STATEMENT

Sir:

In order to fulfill the requirements of candor and good faith set forth in 37 C.F.R.

§1.56, Applicants submit herewith the following Information Disclosure Statement in accordance with the provisions of 37 C.F.R. §1.97 and §1.98.

## UNITED STATES PATENTS

PATENTEE	PATENT NO.	ISSUE DATE
Jiang, et al.	6,515,717 B1*	February 4, 2003
Lee	5,587,822	December 24, 1996
Kabe, et al.	5,850,272	December 15, 1998
Motomura, et al.	6,016,177	January 18, 2000

# JAPZOREC'S PETIFTO 01 MAR 2006

TOKEIGHT XI ENT DOCUMENTO		
COUNTRY	PUBLICATION NO.	PUBLICATION DATE
Europe	1 227 347 A1*	July 31, 2002
Europe	1 189 079 A1*	March 20, 2002
Europe	0 327 895 A2*	August 16, 1989
Europe	0 911 758 A2	April 28, 1999
Great Britain	2 357 061 A	June 13, 2001
Germany	39 42 663 A1	June 27, 1991
WIPO	WO 01/55960	August 2, 2001
WIPO	WO 98/52077	November 19, 1998

#### NON-PATENT PUBLICATIONS

The above-referenced documents are listed on Form PTO-1449. The documents marked with an asterisk were cited in the International Search Report submitted herewith and are therefore not enclosed in their entirety. The remaining patent publications were cited in the prosecution of the corresponding Germany application. We have enclosed copies of the foreign patent publications cited in the prosecution of the German application along with English abstracts corresponding to any non-English publications to facilitate reference to them

Applicants respectfully submit that the requirements of candor and good faith set forth in 37 C.F.R. §1.56 have been fulfilled, having submitted all known references in compliance

<sup>\*</sup>Moia, et al., "Optical LPP/LCP Devices: A new Generation of Optical Security Elements", Vliegenthart, Editors, Proceedings of SPIE Vol. 3973 (2000), pp. 196-203.

<sup>\*</sup>Schadt, et al., "Photo-Induced Alignment and Patterning of Hybrid Liquid Crystalline Polymer Films on Single Substrates", Jpn. J. Appl. Phys. Vol. 34 (1995), pp. L 764-L 767.

Receipt date: 03/01/2006 **10/570111** 

IAP20 Rec C POLITO 0 1 MAR 2006 with 37 C.F.R. § 1.97 and § 1.98. Applicants believe that no fee is due at this time. If it is

determined that a fee is due, please charge our Deposit Account No. 08-2461.

In view of the present submission, it is believed that the above-referenced application is, in all respects, complete and in condition for examination and favorable consideration. If the Examiner has any questions or comments relating to the present application, he or she is respectfully invited to contact Applicants' attorney at the telephone number set forth below.

Respectfully submitted,

Betsy Kingsbury Dowd Registration No.: 52,830 Attorney for Applicant(s)

HOFFMANN & BARON, LLP 6900 Jericho Turmpike Syosset, New York 11791 (516) 822-3550 BKD:tt

217285 1